JC02 'd PCT/PTO 2 9 MAR 2002

FORM PTO 1390 (REV 5-93)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. §371

ATTORNEY DOCKET NUMBER 2002\_0348A

U.S. APPLICATION NO. 9 4 4 8 NEW

International Application No. PCT/JP99/05317

International Filing Date September 29, 1999 Priority Date Claimed None

## Title of Invention

INOCULATING AGENT, SPAWNED INSECT AND METHOD OF PRODUCING FRUIT BODIES OF ENTOMOPATHOGENIC FUNGI

## Applicant(s) For DO/EO/US

Hiroki SATO and Mitsuaki SHIMAZU

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. [X] This is a FIRST submission of items concerning a filing under 35 U.S.C. §371.
- 2. [] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. §371.
- 3. [X] This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).
- 4. [X] A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- 5. [X] A copy of the International Application as filed (35 U.S.C. §371(c)(2))
  - a. [] is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. [X] has been transmitted by the International Bureau.
  - c. [] is not required, as the application was filed in the United States Receiving Office (RO/US)
- 6. [X] A translation of the International Application into English (35 U.S.C. §371(c)(2)) (26 pages plus Fig. 1 (A4 paper)).
- 7. [X] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)).
  - a. [] are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. [] have been transmitted by the International Bureau.
  - c. [] have not been made; however, the time limit for making such amendments has NOT expired.
  - d. [X] have not been made and will not be made.
- 8. [] A translation of the amendments to the claims under PCT Article 19.
- 9. [X] An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)). (UNEXECUTED)
- 10. [] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

## Items 11. to 14. below concern other document(s) or information included:

- 11. [X] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. [] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [X] A FIRST preliminary amendment.
  - [] A SECOND or SUBSEQUENT preliminary amendment.
- 14. [X] Other items or information: (a) PCT Request (PCT/RO/101) in Japanese; (b) Forms PCT/IB/301, 308, 332 and 338 including translation of International Preliminary Examination Report; (c) Forms PCT/IPEA/401, 402, 409 and 416 all in Japanese; (d) International Search Report; (e) Published International Application (WO 01/22801); (f) Two Forms PCT/IB/306 with attached associated Notifications of Change of Person and Change of Address; and (g) Copy of Certificate to Commissioner of Japan Patent Office that the inventions regarding this application were presented at a study meeting, namely the Meeting of the Japanese Society of Applied Entomology and Zoology on April 2, 1999.

**ACCOUNT NO. 23-0975** 

[CHECK NO. 49561

Fax: (202) 721-8250 March 29, 2002

ATTY DOCKET # 2002\_0348A

OUR REF:

[2002\_0348A/MRD/01660]

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Applicant <u>Hiroki SATO et al.</u>
Serial No.<u>NEW</u> Filing Date <u>March 29, 2002</u>

Title <u>INOCULATING AGENT</u>, SPAWNED INSECT AND METHOD OF PRODUCING FRUIT BODIES OF ENTOMOPATHOGENIC FUNGI

## Receipt of the following papers is acknowledged:

- Transmittal Letter to the United States Designated/Elected Office (DO/EO/US)
   Concerning a Filing Under 35 USC 371 (National stage application of PCT/JP99/05317)
- 2. Translation of International Application (26 pages plus Fig. 1 (A4P))
- 3. UNEXECUTED Declaration and Power of Attorney
- 4. Information Disclosure Statement and form PTO-1449 with two references
- 5. Preliminary Amendment and VERSION WITH MARKINGS TO SHOW CHANGES MADE
- 6. Attachments: (a) PCT Request; (b) Forms PCT/IB/301, 308, 332 and 338 including translation of International Preliminary Examination Report; (c) Forms PCT/IPEA/401, 402, 409 and 416; (d) International Search Report; (e) WO 01/22801; (f) Two Forms PCT/IB/306 with attached associated documents; and (g) Copy of Certificate to Commissioner of Japan Patent Office with attachment.
- 7. Check in the amount of \$1058.00

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Date March 29, 2002

Attorney MRD/ach

[Check No. 4956]

Due Date: March 29, 2002